

### **Webinar 1 - A holistic approach to FCA compliance**

**Tuesday 26<sup>th</sup> November 2024**

Hosted by: **Nikki Bennett**, Managing Director, UKGI

By the end of this session delegates will be able to:

- Describe the changing landscape of financial services regulation and what it means to be authorised and regulated in the UK now
- Understand the implications and concepts of culture, conduct and accountability
- Explain the benefits of a robust and effective governance and compliance framework
- Identify current and emerging areas of focus for the Regulator

### **Webinar 2 - Consumer Duty and fair value: lessons learned**

**Thursday 28<sup>th</sup> November 2024**

Hosted by: **Dave Anderson**, Head of Technical, UKGI.

**Alastair Haughton**, Technical Resources Manager, UKGI

By the end of this session delegates will be able to:

- Describe the impact the Duty has had since implementation
- Identify examples of good and poor practice and potential areas for improvement
- Explain how the FCA's expectations of firms for the year ahead relate to priorities for your firm

### **Webinar 3- Regulatory roundup**

**Tuesday 3<sup>rd</sup> December 2024**

Hosted by: **Dave Anderson**, Head of Technical, UKGI.

**Craig Service**, Compliance Consultant, UKGI

By the end of this session delegates will be able to:

- Describe some recent FCA updates and emerging issues;
- Identify the areas where firms need to have appropriate focus; and
- Outline other key sector developments

### **Webinar 4 - Outcomes and good MI**

**Wednesday 4<sup>th</sup> December 2024**

Hosted by: **Robin Knowles**, Compliance Consultant, UKGI

By the end of this session delegates will be able to:

- Explain how the MI that you use and have developed can be used to evidence good outcomes
- Identify which MI you might need to collect to support and provide that evidence
- Define the techniques you can use to identify trends to assess good or poor outcomes